

Making 5G NR a reality Leading the technology innovations for a unified, more capable 5G air interface

Qualcomm Technologies, Inc. September, 2016

Transforming our world

through intelligent connected platforms

Last 30 years Interconnecting people

Next 30 years Interconnecting their worlds

Utilizing unparalleled systems leadership in connectivity and compute

Mobile fueled the last 30 years-interconnecting people



A unifying connectivity fabric

Always-available, secure cloud access



Unifying connectivity platform for future innovation
 Convergence of spectrum types/bands, diverse services, and deployments, with new technologies to enable a robust, future-proof 5G platform

5G will redefine a wide range of industries

A platform for new connected services - existing, emerging and unforeseen





Safer, more autonomous transportation

Smarter agriculture





Improved public safety and security



Sustainable cities and infrastructure



Designing 5G New Radio (NR)

An OFDM-based unified, more capable air interface

Diverse services and devices

NR

Diverse

deployments

Diverse

spectrum

Scalability to address diverse service and devices



Getting the most out of every bit of diverse spectrum

Low bands below 1 GHz: longer range for e.g. mobile broadband and massive IoT e.g. 600 MHz, 700 MHz, 850/900 MHz

Mid bands 1 GHz to 6 GHz: wider bandwidths for e.g. eMBB and mission-critical e.g. 3.4-3.8 GHz, 3.8-4.2 GHz, 4.4-4.9 GHz

High bands above 24 GHz (mmWave): extreme bandwidths e.g. 24.25-27.5 GHz, 27.5-29.5, 37-40, 64-71 GHz

Licensed Spectrum Exclusive use Shared Spectrum New shared spectrum paradigms

Unlicensed Spectrum Shared use

Adaptable to diverse deployments and topologies





5G will be deployed and managed by a variety of entities

Mobile operator networks provide ubiquitous coverage—the backbone of 5G

Pioneering new technologies to meet 5G NR requirements



10x 10x 10x 3x 100x 100x connection traffic network experienced decrease in endspectrum density efficiency capacity efficiency throughput to-end latency

Simplifying 5G deployments with multi-connectivity Fully leveraging 4G LTE and Wi-Fi investments for a seamless user experience



5G NR radio access designed to utilize LTE anchor for mobility management (non-standalone) or operate stand-alone with new multi-access 5G NextGen Core Network (NGCN)

The path to 5G includes a strong LTE foundation



Note: Estimated commercial dates. Not all features commercialized at the same time



Anyone can talk about 5G. We are creating it.



We are driving technology innovations to mobilize mmWave Working with operators on trials & early deployments starting late 2017/early 2018¹

802.11ad 60 GHz chipset commercial for mobile devices

5G mmWave prototype system and trial platform

28 GHz mmWave RFIC development



Qualcomm[®] VIVE[™] 802.11ad 60 GHz technology with a 32-antenna array End-to-end system operating at 28 GHz demonstrating NLOS operation and robust mobility



With integrated PA, LNA, phase shifter, power splitters for beamforming

Qualcomm VIVE is a product of Qualcomm Atheros, Inc.

1 For limited regional fixed wireless deployments (e.g. Korea and US) operating at 28 and 39 GHz; also will be utilized for mobile wireless access trials to drive 5G NR standardization

Bringing new level of performance for sub-6 GHz 5G NR sub-6 GHz prototype system and trial platform





Operating in sub-6 GHz spectrum bands

Allows for flexible deployments with ubiquitous network coverage and a wide range of use cases

Achieving multi-Gbps at low latency

Showcases innovative Qualcomm 5G designs to efficiently achieve multi-gigabit per second data rates and low latency

Driving standardization on 5G NR

OFDM-based designs implemented on the prototype system are being utilized to drive 3GPP standardization

Will enable impactful 5G NR trials

Designed to flexibly track 3GPP standardization and be utilized as a trial platform for impactful and timely 5G NR trials

Watch the demo video at: <u>https://www.qualcomm.com/videos/5g-nr-sub-6ghz-prototype-system</u>

We are accelerating the path to 5G NR

Best-in-class 5G prototype systems and testbeds



5G standards, technology and research leadership



A GLOBAL INITIATIVE

Impactful trials and early deployments with network operators



Modem and RFFE leadership to solve 5G complexity



Test, demonstrate and verify our innovative 5G designs to contribute to and drive standardization Such as advanced channel coding, self-contained subframe, mobilizing mmWave, ... Over-the-air interoperability testing leveraging prototype systems and our leading global network experience Roadmap to 5G significantly more complex and faster moving–builds upon our rich history of industry firsts

5G NR standardization progressing for 2019 launches



5G NR R15¹ will establish the 5G foundation

For enhanced mobile broadband and beyond

Optimized OFDM-based waveforms

With scalable numerology and TTI, plus optimized multiple access for different use cases

A common, flexible framework

To efficiently multiplex services and features with a dynamic, low-latency TDD/FDD design

Advanced wireless technologies

Such as massive MIMO, robust mmWave, advanced channel coding, and device-centric mobility







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- Unified design across spectrum types and bands

For licensed and shared/unlicensed spectrum bands both below 6 GHz and above 6 GHz²



Designing 5G NR





Leading the technology innovations for a unified, more capable 5G air interface

OFDM family is the right choice for 5G mobile broadband and beyond

Adapted for scaling to an extreme variations of 5G requirements





Spectral efficiency

Efficient framework for MIMO spatial multiplexing



Low complexity Low complexity receivers even when scaling to wide bandwidths



Frequency localization

Windowing can effectively minimizes in-band and out-of-band emissions



Lower power consumption

Single-carrier OFDM well suited for efficient uplink transmissions



Asynchronous multiplexing

Co-exist with optimized waveforms and multiple access for wide area IoT

¹ Weighted Overlap Add; ² Such as Resource Spread Multiple Access (RSMA) - more details later in presentation

Efficient service multiplexing with windowed OFDM

OFDM with WOLA¹ windowing

Substantially increases frequency localization

PSD of CP-OFDM with WOLA at the transmitter



Key for 5G service multiplexing

Mitigate interference between flexible sub-carriers



OFDM with WOLA windowing

Effectively reduces in-band and out-of-band emissions Windowed OFDM proven in LTE system today

Alternative OFDM-approaches, such as FBMC and UFMC, add complexity with marginal benefits

¹ Weighted Overlap Add

Optimizing for diverse services and deployments

5G NR Downlink

Unified downlink design

5G NR Uplink

Optimized for different deployments



Download Qualcomm Research whitepaper for detailed analysis: <u>https://www.qualcomm.com/documents/5g-research-waveform-and-multiple-access-techniques</u>

A flexible framework with forward compatibility

Efficiently multiplex envisioned and future 5G services on the same frequency



¹ Blank resources may still be utilized, but are designed in a way to not limit future feature introductions; ² Nominal 5G access to be designed such that it is capable to sustain puncturing from mission-critical transmission or bursty interference

Scalable numerology with scaling of subcarrier spacing Efficiently address diverse spectrum, deployments and services

Example usage models and channel bandwidths

Scalable Transmission Time Interval (TTI)

Scalable TTI for diverse latency and QoS requirements

Efficient multiplexing of long & short TTIs to allow transmissions to start on symbol boundaries^{2,3}

Self-contained integrated subframe design UL/DL scheduling info, data and acknowledgement in the same sub-frame

Faster, more flexible TDD switching and turn around, plus support for new deployment scenarios and forward compatibility

New self-contained TDD design enables new use cases Eliminates control channel interference to allow for robust, dynamic DL/UL switching

- Allows for robust, dynamic DL/UL switching driven by different loading and traffic types
- Enables integrated access and backhaul co-channel deployments for mmWave

5G NR design innovations across diverse services

Massive IoT

- Low complexity narrowband
- Low power modes for deep sleep
- Efficient signaling
- Grant-free uplink transmissions
- Optimized link budget
- Managed multi-hop mesh

Enhanced Mobile Broadband

- Wider bandwidths
- Mobilizing mmWave
- Shared spectrum
- Device-centric mobility

Mission-Critical Control

- Low-latency with bounded delay
- Efficient multiplexing with nominal traffic
- Grant-free uplink transmissions
- Simultaneous redundant links
- Reliable device-to-device links
- Optimized PHY/pilot/HARQ
- Dynamic, low-latency TDD/FDD
- Massive MIMO
- Advanced channel coding
- Native HetNet and multicast support

Extreme throughput Ultra-low latency Uniform experience

Qualcomm Snapdragon is a product of Qualcomm Technologies, Inc. Subject to network availability

Continuing to evolve LTE for enhanced mobile broadband Pioneering 5G technologies and ensuring a consistent user experience as 5G rolls out

Gbps+ peak rates More uniform experience Better coverage Significantly lower latencies Carrier Aggregation evolution—wider bandwidths

Aggregating more carriers, diverse spectrum types and across different cells

LTE in unlicensed spectrum

Make the best use of the vast amounts of unlicensed spectrum available

TDD/FDD evolution—faster, more flexible

Enable significantly lower latency, adaptive UL/DL configuration, and more

Many more antennas-path to massive MIMO

Exploit 3D beamforming (FD-MIMO) to increase capacity and coverage

Designing 5G NR for significantly lower latency 10x lower latency than today's LTE networks

Improved performance by addressing TCP/UDP throughput limitations

Better user experience for real-time applications such as Video-over-IP applications Address new latency-critical apps such as command-andcontrol of drones Delivering advanced 5G NR channel coding ME-LDPC¹ codes more efficient than today's LTE Turbo codes at higher data rates

Example ME-LDPC Basegraph

High Efficiency

Significant gains over LTE Turbo - particularly for large block sizes suitable for MBB

Low Complexity

Easily parallelizable decoder scales to achieve high throughput at low complexity

Low Latency

Efficient encoding/decoding enables shorter TTI

Also exploring alternative channel coding for mission-critical and massive IoT traffic²

Many more antennas to increase coverage and capacity Evolving towards Massive MIMO

LTE Today

Fixed codebook for up to 8-antenna elements with azimuth beamforming only

LTE Rel. 13 (FD-MIMO)

2D codebook support for 8-, 12- and 16-antenna elements with Reference Signal enhancements for beamforming

5G NR Rel. 15 (Massive MIMO)

Support even larger # of antenna elements (up to 256) with new features, e.g. hybrid beamforming, distributed MIMO Massive MIMO is a key enabler for higher spectrum bands Allows reuse of existing sites and same transmit power at e.g. 4 GHz

Shared/unlicensed spectrum is important for 5G

Unlocking more spectrum

Shared spectrum can unlock spectrum that is lightly used by incumbents

High spectrum utilization

Spectrum sharing has the potential to increase spectrum utilization

A lot of spectrum may be shared/unlicensed

FCC recent decision on high-band spectrum included a significant portion of shared/unlicensed¹

We are pioneering 5G shared spectrum today Building on LTE-U/LAA, LWA, CBRS/LSA and MulteFire¹

Pioneered shared/unlicensed spectrum in 4G LTE

Realizing the mmWave opportunity for mobile broadband

Extreme bandwidth opportunity

- Extreme bandwidths capable of Multi-Gbps data rates
- Flexible deployments (integrated access/backhaul)
- · High capacity with dense spatial reuse

Mobilizing mmWave challenge

- Robustness due to high path loss and susceptibility to blockage
- Device cost/power and RF challenges at mmWave frequencies

Learn more at: www.qualcomm.com/documents/promise-5g-mmwave-how-do-we-make-it-mobile

Mobilizing mmWave-live demonstration of our prototype

Millimeter Wave UE

Millimeter wave base station

Beamforming and scanning

Non-line-of-sight through reflection

Outdoor

Learn more at: www.qualcomm.com/videos/mobilizing-mmwave-5g

Device-centric mobility management in 5G NR Control plane improvements to improve energy and overhead efficiency

Lightweight mobility for device energy savings

- Apply COMP-like¹ concepts to the control plane
- Intra-zone mobility transparent to the device

Less broadcast for network energy savings

- Low periodic beacon for initial discovery of device(s)
- On-demand system info (SIB) when devices present²

1 Coordinated MultiPoint is an LTE Advanced feature to send and receive data to and from a UE from several access nodes to ensure the optimum performance is achieved even at cell edges; 2 Minimum system information is broadcast periodically, other system information available on demand; may dynamically revert to broadcast system info when needed, e.g. system info changes

5G Connecting massive Internet of Things

Power efficient Low complexity Long range

Cellular technologies enable a wide range of IoT services

Ubiquitous coverage

Always-on connectivity

Reliable and secure

Global ecosystem

We are evolving LTE for the Internet of Things

Paving the path to Narrowband 5G for massive IoT

5G NR will bring new capabilities for the massive IoT NB-IoT continuing to evolve beyond Release 13–foundation of Narrowband 5G

Non-orthogonal RSMA for efficient IoT communications

Characterized by small data bursts in uplink where signaling overhead is a key issue

Grant-free transmission of small data exchanges

- Eliminates signaling overhead for assigning dedicated resources
- Allows devices to transmit data asynchronously
- Capable of supporting full mobility

Increased battery life

Scalability to massive # of things

Better link budget

Support for multi-hop mesh with WAN management

Problem: Uplink coverage

Due to low power devices and challenging placements, in e.g. basement

Solution: Managed uplink mesh

Uplink data relayed via nearby devices—uplink mesh but direct downlink.

Enabling mission-critical services

High reliability Ultra-low latency High availability

We are pioneering mission-critical services with LTE today

Cellular Vehicle-to-Everything (C-V2X)

Actively driving C-V2X 3GPP Release 14 Work Item and beyond, building upon our leadership in LTE Direct and LTE Broadcast

Cellular drone communications

Testing drone operation on commercial 4G LTE networks at FAA-authorized UAS Flight Center, representing "real world" conditions

Pioneering C-V2X with rich roadmap to 5G

C-V2X increases reaction time over 802.11p/DSRC for improved safety use cases

Testing drone operation over commercial LTE networks

To optimize LTE networks and advance 5G for mission critical services

Controlled Airspace Class B

- FAA-authorized test environment
- Repressing real world" conditions with mix of commercial, residential and rural

Early findings

- Drones at altitude are served by multiple base stations
- Drones demonstrated seamless handovers with zero link failures

Opportunities for optimization

- Interference management
- Handover optimization
- LTE Drone Specific Requirements

5G NR will enable new mission-critical control services

A platform for tomorrow's more autonomous world

1ms e2e latency

Faster, more flexible frame structure; also new non-orthogonal uplink access

Ultra-high reliability

Ultra-reliable transmissions that can be time multiplexed with nominal traffic through puncturing

Ultra-high availability

Simultaneous links to both 5G and LTE for failure tolerance and extreme mobility

Strong e2e security

Security enhancements to air interface, core network, & service layer across verticals¹

Efficient mission-critical multiplexing with other services A more flexible design as compared to dedicated mission-critical resources (e.g. FDM)

New 5G design allows for optimal trade-offs

E.g. leveraging wider bandwidths to offset mission-critical capacity reductions

Reliability vs. capacity... Latency vs. capacity... can offset reductions Mission-critical Mission-critical Mission-critical capacity capacity capacity Example:2X bandwidth for 3x capacity gain² e.g. 1e-2 BLER e.g. 1e-4 BLER1 Latency Latency Latency

¹ Low BLER Block Error Rate, required to achieve high-reliability with a hard delay bound 2 All data based on Qualcomm simulations with approximate graphs and linear scales. 3x gain when increasing from 10Mhz to 20Mhz for 1e-4 BLER.

But wider bandwidth

As we did in 3G and 4G, Qualcomm is leading the world to 5G

3G

5G

4**G**

Making 5G NR a reality

We are designing a unified, more capable 5G air interface

Diverse deployments

Also designing a flexible 5G network architecture Leveraging virtualized network functions to create optimized network slices

- Configurable end-to-end connectivity per vertical
- Modular, specialized network functions
 per services
- Flexible subscription models
- Dynamic control and user planes with more functionality at the edge

Better cost/energy efficiency

Optimized performance

Flexible biz models and deployments

Dynamic creation of services Pioneering new 5G technologies today With our leadership and expertise in LTE and Wi-Fi

Pioneering new 5G technologies today With our leadership and expertise in LTE and Wi-Fi

CPU	Breaking the gigabit barrier	Qualcomm® Snapdragon™ X16 LTE modem industry's first Gigabit Class LTE modem (4x CA, LAA, 4x4 MIMO, 256-QAM)		
<u>1000</u> X	Solving the 1000x data challenge	Technologies for hyper-densification, e.g. Qualcomm UltraSON™ self-organization and converged LTE / Wi-Fi solutions		
T	Enabling new spectrum paradigms	New technologies such as LSA for sharing with incumbents, LTE-U, LWA, LAA, MulteFire™ for over-the-air sharing	5	G
	Mobilizing mmWave spectrum bands	Qualcomm® VIVE 802.11ad 60 GHz chipset commercial for mobile devices with a 32-antenna array element	N	IR
	Bringing new ways to connect	LTE Direct and LTE Broadcast (including digital TV), and new standard for Cellular V2X (C-V2X) communications		
	Optimizing for the Internet of Things	New LTE IoT technologies (eMTC, NB-IoT), and optimizing technologies for cellular drone communications		

Our modem and RF leadership is critical to 5G Roadmap to 5G is significantly more complex and faster moving

LTE multi-mode today

Our modem and RF leadership is critical to 5G Roadmap to 5G is significantly more complex and faster moving

Qualcomm Research 5G NR prototype systems

Testbed for 5G designs to drive standardization and timely commercialization

Sub-6 GHz for flexible deployments across a wide range of use cases

End-to-end system operating sub-6 GHz and showcasing innovations to efficiently achieve large bandwidths capable of multi-Gbps rates at low latency

Robust mmWave for extreme mobile broadband

End-to-end system operating at 28 GHz, demonstrating beam forming and scanning to address non-line-of-sight scenarios, improve indoor/outdoor range, and provide robust mobility

Anyone can talk about 5G. We are creating it. Investing in 5G for many years—building upon our leadership foundation

Wireless/OFDM technology and chipset leadership

Pioneering new 5G technologies to meet extreme requirements

End-to-end system approach with advanced prototypes

Leading global network experience and scale

Driving 5G from standardization to commercialization

Providing the experience and scale that 5G demands

Learn more at <u>www.qualcomm.com/5G</u>

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www.qualcomm.com/wireless

www.qualcomm.com/news/onq

http://www.youtube.com/playlist?list=PL8AD95E4F585237C1&feature=plcp

http://www.slideshare.net/qualcommwirelessevolution

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